I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Attorney Docket No.: 14643-009020US

Box DAC

Assistant Commissioner for Patents

Washington, D.C. 20231

on September 10, 1999

TOWNSEND and TOWNSEND and CREW LLP

By: Marcia tarum





## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent of:

Lonberg, et al.

Application No.: 08/728,463

Filed: October 10, 1996

For: Transgenic non-human animals capable of producing heterologous antibodies

**COMMUNICATION UNDER** 

37 C.F.R. §1.28(c)

PAYMENT OF FEE DEFICIENCY PURSUANT TO 37 C.F.R. §1.28(c) -CHANGE IN ENTITY STATUS

OFFICE OF PETITIONS

6661 S 1 d3S

**HECEINED** 

**Box DAC** 

Assistant Commissioner for Patents

Washington, D.C. 20231

## **COMMUNICATION UNDER 37 C.F.R. §1.28(c)**

Sir:

Applicants respectfully submit under 37 C.F.R. §1.28(c) authorization to pay supplementary fees and consideration of the remarks set forth herein. The following documents are enclosed herewith:

- Postcard
- this paper is submitted in duplicate

00000013 201430 08728463

130.00 OP

## **REMARKS**

573.00 CH 558.60 Passe change the status of the above-referenced U.S. Serial Number (USSN) 760.00 CH 670108/7428,463, filed October 10, 1996, from small entity status to large entity status. MPEP

509.03, 7<sup>th</sup> ed., July, 1998.

09/15/1999 MBLANCO

01 FC:105 02 FC:103 03 FC:102 04 FC:117 05 FC:101 06 FC:117



Application No.; 08/728,463

Page 2

Applicants no longer claim the benefit of small entity status for the above-identified patent and wish to submit supplemental large entity fee(s) as follows.

The supplemental fees to be paid are set forth below:

Paper	Date	Fee paid	Current Fee	Difference
missing parts surcharge	3/17/97	\$65.00	\$130.00	\$65.00
# claims in excess of twenty fee	3/17/97	\$(10 x11)= \$110.00	\$(10x18)= \$180.00	\$70.00
independent claims in excess of three fee	3/17/97	(11 excess) \$440.00	(11x78)= \$858.00	\$418.00
extension of time fee (3 months)	3/17/97	\$465.00	\$870.00	\$420.00
patent filing fee	3/17/97	\$385.00	\$760.00	\$375.00
extension of time fee (3 months)	12/22/97	\$475.00	\$870.00	\$420.00
total				\$1768.00

The Assistant Commissioner for Patents is hereby authorized to charge the fee of \$1768.00 to Deposit Account No. 20-1430, which Applicants believe is sufficient to make up the deficiency between the amount of the fees paid and the amount of issue fees due.

However, if necessary, please charge any additionally needed fees to Deposit Account No. 20-1430.

Applicants do not believe that any further fees are due with this response. If necessary, please charge any needed fees, or refund any overpayment, to Deposit Account No. 20-1430.

Application No.; 08/728,463 Page 3

If additional issues need to be addressed, please telephone the undersigned or Bill Smith at 415-576-0200.

Respectfully submitted,

Gregory P Einhorn Reg. No. 38,440

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, 8<sup>th</sup> Floor San Francisco, California 94111-3834

Tel: (415) 576-0200 Fax: (415) 576-0300

email: gpeinhorn@townsend.com

GPE:mys